

Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use **BLOCK LETTERS** in black or dark ink.

Broker Name & ARN Code/RIA Code	Sub-broker ARN Code	Sub Code	EUIN	Branch Code	Time Stamp
24952			E347831		

**Transaction Charges: SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs. 10,000/-.**  
**If this is the first time, you are investing in any mutual fund, please tick here**


Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction

Sole/1st Applicant / Authorised Signatory <input checked="" type="checkbox"/>	2nd Applicant / Authorised Signatory <input checked="" type="checkbox"/>	3rd Applicant / Authorised Signatory <input checked="" type="checkbox"/>
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**1 APPLICANT INFORMATION**

Name of Sole/1st Unit Holder	First Name	Middle Name	Last Name
Folio No.	Mobile No.	+ 9 1	
	<b>First Unit Holder</b>	<b>Second Unit Holder</b>	<b>Third Unit Holder</b>
PAN/PEKRN**			
KIN^			
Date of Birth^	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
Email ID^			

*KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year. ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).*

**2 OTM DEBIT MANDATE FOR NACH/ECS/AUTO DEBIT**


UMRN  F O R O F F I C E U S E O N L Y Date  D D M M Y Y Y Y

Sponsor Bank Code   Create  Modify  Cancel

Utility Code  I/We hereby authorize **HSBC Mutual Fund**

To debit (✓)  SB  CA  CC  SB-NRE  SB-NRO  Others Bank Account No.

with Bank  Name of customers bank IFSC/MICR

An amount of Rupees  In Words ₹  In Figures

Debit Type :  Fixed Amount  Maximum Amount Frequency:  Monthly  Quarterly  Half Yearly  Yearly  As & when presented

Reference 1  Folio No. Reference 2  Application Number

Mobile No.  Email ID

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel / amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit.

<b>PERIOD</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
From <input type="text"/> D D M M Y Y Y Y	Signature of Primary Bank Account Holder	Signature of Bank Account Holder	Signature of Bank Account Holder
To <input type="text"/> D D M M Y Y Y Y	Name as in bank records	Name as in bank records	Name as in bank records
Or <input type="checkbox"/> Until Cancelled			

This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

Received from \_\_\_\_\_ Folio No./Application No.

Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option/Sub-option \_\_\_\_\_

New SIP Registration  SIP Renewal  Update New OTM Debit Mandate  OTM Debit Mandate to be registered in the folio.

OTM Debit Mandate is already registered in the folio.

SIP Amount Rs. \_\_\_\_\_ Frequency  Weekly  Monthly  Quarterly

STP Top Up Amount Rs. \_\_\_\_\_ Frequency  Half Yearly  Yearly

Acknowledgement  
Stamp & Date

...continued on next page ⇨

## CALL US AT

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

### TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200 / 1800-200-2434	1800-419-9800	1800-4190-200 / 1800-200-2434	+91 44 39923900
Email ID	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in

**3 SIP & INVESTMENT DETAILS (Mandatory. If left blank, the Application is liable to be rejected)**

- New SIP Registration     SIP Renewal     Update new OTM debit mandate for already registered SIP (If selected, move to Section 4)  
 OTM Debit Mandate to be registered in the folio. (If selected, Section 4 to be filled in mandatorily)  
 OTM Debit Mandate is already registered in the folio. Please fill, Unique Mandate Reference Number (UMRN)

Debit Bank Name \_\_\_\_\_ A/C No. \_\_\_\_\_

**INVESTMENT DETAILS (For more than 1 scheme please issue cheque favouring "HSBC MF Multi SCHEME SIP")**

Scheme	Scheme 1	Scheme 2	Scheme 3
<b>Scheme Name</b>	<b>HSBC</b>	<b>HSBC</b>	<b>HSBC</b>
<b>Plan</b>	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Regular <input type="checkbox"/> Direct
<b>Option / Sub-Option</b>	<input type="checkbox"/> Growth* <input type="checkbox"/> Reinvestment of IDCW@ <input type="checkbox"/> Payout of IDCW@	<input type="checkbox"/> Growth* <input type="checkbox"/> Reinvestment of IDCW@ <input type="checkbox"/> Payout of IDCW@	<input type="checkbox"/> Growth* <input type="checkbox"/> Reinvestment of IDCW@ <input type="checkbox"/> Payout of IDCW@
<b>IDCW Frequency</b>	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly
<b>First Instalment Details:</b>			
<b>Instrument No.</b>	_____	_____	_____
<b>Instrument Date</b>	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
<b>Drawn on</b>	Bank _____ Branch _____ City _____	Bank _____ Branch _____ City _____	Bank _____ Branch _____ City _____
<b>A/c. Type (✓)</b>	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* <input type="checkbox"/> Others	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* <input type="checkbox"/> Others	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* <input type="checkbox"/> Others
<b>UMRN for One Time Mandate</b>	_____	_____	_____
<b>SIP Amount</b>	₹ _____	₹ _____	₹ _____
<b>SIP Frequency</b>	<input type="checkbox"/> Weekly <sup>△</sup> <input type="checkbox"/> Monthly <sup>△^</sup> <input type="checkbox"/> Quarterly (10th)	<input type="checkbox"/> Weekly <sup>△</sup> <input type="checkbox"/> Monthly <sup>△^</sup> <input type="checkbox"/> Quarterly (10th)	<input type="checkbox"/> Weekly <sup>△</sup> <input type="checkbox"/> Monthly <sup>△^</sup> <input type="checkbox"/> Quarterly (10th)
<b>SIP Day</b>	<input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday <sup>△</sup> <input type="checkbox"/> Thursday <input type="checkbox"/> Friday		
<b>SIP Period</b>	From M M Y Y To M M Y Y OR <input type="checkbox"/> Until Cancelled [If end date is not mentioned then the SIP will be considered for perpetuity (March 2099)]		
<b>SIP Debit Date</b>	<input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th <input type="checkbox"/> 9th <input type="checkbox"/> 10th (Default) <input type="checkbox"/> 11th <input type="checkbox"/> 12th <input type="checkbox"/> 13th <input type="checkbox"/> 14th <input type="checkbox"/> 15th <input type="checkbox"/> 16th <input type="checkbox"/> 17th <input type="checkbox"/> 18th <input type="checkbox"/> 19th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 22nd <input type="checkbox"/> 23rd <input type="checkbox"/> 24th <input type="checkbox"/> 25th <input type="checkbox"/> 26th <input type="checkbox"/> 27th <input type="checkbox"/> 28th <input type="checkbox"/> 29th <input type="checkbox"/> 30th <input type="checkbox"/> 31st		
<b>Reason for your SIP (✓)</b>	<input type="checkbox"/> Children's Education <input type="checkbox"/> Children's Marriage <input type="checkbox"/> House <input type="checkbox"/> Car <input type="checkbox"/> Retirement <input type="checkbox"/> Others		

**SIP Top Up (Optional)** – Available only for investments effected through Auto Debit.

**Top Up Amount** ₹ \_\_\_\_\_ Amount in multiples of ₹ 500 only    **Top Up Frequency**  Half Yearly     Annual (Default) ^  
 Top Up to continue till SIP amount reaches^^ ₹ \_\_\_\_\_    OR Top Up to continue till # D D M M Y Y Y Y

**Notes:**

- \* Default Option/Sub-Option if not selected.
- \* For NRI Investors.
- @ IDCW stands for 'Income Distribution cum Capital Withdrawal option'. The amounts can be distributed out of investors' capital (Equalization Reserve), which is part of the sale price that represents realized gains, as may be declared by the Trustees at its discretion from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations).
- ^ If no debit date is mentioned default date would be considered as 10th of every month/quarter. Minimum gap of 21 days required between first cheque and subsequent instalment. In case of discrepancy in the SIP Period, the one mentioned in the Debit Mandate will be considered.
- ^^ SIP Top Up will cease once the mentioned amount is reached.
- # It is the date from which SIP Top-Up amount will cease. Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment.

**4 DECLARATION, CONSENT & SIGNATURES (Mandatory. If left blank, the application is liable to be rejected)**

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of HSBC Mutual Fund. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this Systematic Investment. The ARN holder has disclosed to me/us all the commissions (in trail commission or any other), payable to him for the different competing schemes of Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given here are correct and express my/our willingness to make payments referred above through participation in Electronic Debit arrangement/NACH (National Automated Clearing House). If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution or any of their appointed service providers or representatives responsible. I/We will also inform HSBC Mutual Fund about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf.

I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of HSBC Mutual Fund for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.

**SIGNATURE/S (To be signed as per Mode of Holding)**

<b>×</b>	<b>×</b>	<b>×</b>
Sole/First Applicant/Guardian/PoA	Second Applicant/ PoA	Third Applicant/PoA

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

## GENERAL INSTRUCTIONS

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

### 1. Debit Mandate:

- a. Please fill all the required details in the OTM Debit Mandate Form for NACH/ECS/Auto Debit. The sole/first holder must be one of the holders in the bank account.
- b. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
- c. The 9 digit MICR code and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.
- d. Alongwith OTM, investors need to provide an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered failing which registration may not be accepted. Investor's cheque/bank account details are subject to third party validation.
- e. For the convenience of investors, the frequency of the mandate mentioned "As and when presented".

### 2. Applicant Information:

Please furnish the Folio Number, Name and PAN of Sole/First Applicant Section 2 of the Form. Your investment would be processed in the specified folio.

Investors/Unit holders should provide the Folio & Name of the Sole/Primary Holder. In case the name as provided in this application does not correspond with the name appearing in the existing Folio, the application form may be rejected.

Your personal information and bank account details would apply to this investment as well.

Please provide the Mobile Number and E-Mail Address of the Sole/First Applicant in the form in case of Individuals and Key Contact in case of Non-Individuals. This would help us seamlessly communicate with you on your investments.

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year. \* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

Upon signing and submitting the Application Form and tendering payment it will be deemed that the investors have accepted, agreed to and shall comply with the terms and conditions detailed in the respective Scheme Documents.

### 3. SIP & Investment Details:

- a. For SIP in more than 1 scheme, your investment cheque should be crossed "Account Payee only" and drawn favoring "HSBC Multi Scheme SIP".
- b. New Investors who wish to enroll for SIP investment are required to fill (i) OTM Mandate Form (ii) SIP Registration Form and (iii) the respective Scheme Application form (included in the Key Information Memorandum).

New Investors can apply for SIP into respective Scheme / Plans / Option without any existing investment /folio. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme

Information Document. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.

- c. Where a One Time Mandate is already registered in a folio for a bank account, the Unit Holder(s) is not required to fill the OTM debit mandate again. However, please mention the UMRM, debit bank name & account number.
- d. Where the OTM mandate is getting submitted for the first time, please fill the OTM debit mandate form for NACH/ECS/Auto debit mandatorily.
- e. Enclose cancelled cheque leaf of the bank where OTM is getting registered if the initial purchase cheque is not from the same bank account.
- f. Investors can choose any preferred day / date of the month as SIP debit day / date. In case the chosen day / date falls on a non-business day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day.
- g. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar CAMS. Notice of such

discontinuance should be received at least 21 Calendar Days prior to the due date of the next installment /debit.

### Micro SIP:

- d. As per AMFI notification and guidelines issued on July 4, 2009, SIPs or Lumpsum purchases by eligible investors in a rolling 12 month period not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.
  - The exemption of PAN requirement is only available to individuals.
  - Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
  - Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.

### Third Party Payments:

- e. Third Party Payments for investments are not accepted except in the below cases
  - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements.
  - Custodian on behalf of an FPI or Client made by Custodian.
  - Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent / Dealer / Distributor on account of commission or incentive payable for sale of its goods / services in the form of Mutual Fund units through SIP or lump sum/one time subscription.

In the above cases, necessary declaration / banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details.

### SIP Top-Up Facility:

- f. Investors can opt for SIP Top Up facility wherein the amount of SIP can be increased at fixed intervals.
  - The minimum amount of increase under SIP Fixed Amount Top-Up facility should be Rs. 500/- and in multiples of Rs. 500/- .
  - SIP Top-Up frequency in case of investors availing Monthly SIP facility will be half yearly and annual and for availing Quarterly SIP facility, the frequency will be annual. In case the SIP Top-Up frequency is not indicated , it will be considered as Monthly.
- g. Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
- h. Please ensure that the investment cheque issued by you complies with CTS 2010 requirement stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- i. Payments made by Cash / Money Order / Postal Order, Non- MICR cheque, outstation cheques are not accepted.
- j. For detailed terms and conditions on SIP, SIP Top-up, OTM facility, please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) and also refer to scheme related documents.
- k **Note:** Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- l. Applications incomplete in any respect are liable to be rejected. AMC/RTA shall have absolute discretion to reject any such Application Forms.
- m. Investors are advised to retain the acknowledgment slip till they receive a confirmation of processing of their SIP Mandate from the HSBC Mutual Fund Investor Service Centre (ISC)/CAMS.
- n. Minimum application amount and number of instalments:

Frequency	Minimum amount	Minimum installments	Dates
Weekly	Rs. 500 and in multiples of Re. 1/- thereafter	12 installments	Any Dates
Monthly	Rs. 1,000 and in multiples of Re. 1/- thereafter	6 installments	
Quarterly	Rs. 1,500 and in multiples of Re. 1/- thereafter	4 installments	

Minimum Aggregate Amount: Rs. 6,000/- and in multiples of Re. 1/- thereafter.

### 4. Declarations & Signatures:

Unit holders need to sign here in accordance to the Mode of Holding provided to us and as per the mode of holding in the bank account in the same sequence and manner in the relevant boxes provided in the form.